

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
JOHN S. HAIKIN )  
Application No.: New Application )  
Filed: Herewith )  
For: COLOR MEASUREMENT PROFILE )  
WITH EMBEDDED )  
CHARACTERIZATION )      Date: November 12, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of references (20) and (21) are also enclosed.

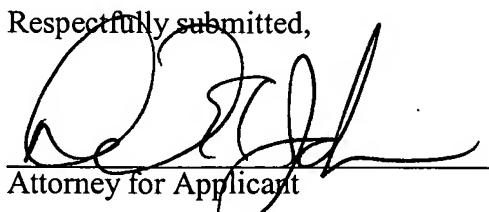
- (1) U.S. Patent No. 6,535,298
- (2) U.S. Patent No. 6,396,593
- (3) U.S. Patent No. 6,480,299
- (4) U.S. Patent No. 6,477,318
- (5) U.S. Patent No. 6,108,442
- (6) U.S. Patent No. 6,421,050
- (7) U.S. Patent No. 5,103,407
- (8) U.S. Patent No. 6,437,792
- (9) U.S. Patent No. 6,430,311
- (10) U.S. Patent No. 6,362,808
- (11) U.S. Patent No. 6,088,038
- (12) U.S. Patent No. 6,075,888

- (13) U.S. Application Publication No. 2002/0105660
  - (14) U.S. Application Publication No. 2001/0012396
  - (15) U.S. Application Publication No. 2002/0003903A1
  - (16) U.S. Application Publication No. 2001/0053247A1
  - (17) U.S. Application Publication No. 2001/0044801
  - (18) U.S. Application Publication No. 2001/0038468
  - (19) U.S. Application Publication No. 2003/0058253A1
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- (20) WO 01/89202A2
  - (21) WO 02/080523A2

Inasmuch as this application has not yet received a first Office Action on the merits, it is believed that this Information Disclosure Statement is timely. See 37 C.F.R. § 1.97(b)(3). Accordingly, the Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO--1449.

Applicant's undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant

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FORM PTÖ 1449 ( <i>modified</i> ) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				ATTY DOCKET NO. <b>03650.002059.</b>		APPLICATION NO. <b>New Application</b>		
				APPLICANT <b>JOHN S. HAIKIN</b>				
				FILING DATE <b>Herewith</b>		GROUP <b>N.Y.A.</b>		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<b>6,535,298</b>	<b>12/00</b>	<b>K. Winter, et al.</b>		<b>358</b>	<b>1.16</b>	
		<b>6,396,593</b>	<b>1/00</b>	<b>T. Laverty, et al.</b>		<b>358</b>	<b>1.15</b>	
		<b>6,480,299</b>	<b>11/98</b>	<b>Drako, et al.</b>		<b>358</b>	<b>1.9</b>	
		<b>6,477,318</b>	<b>12/97</b>	<b>Ishii</b>		<b>386</b>	<b>95</b>	
		<b>6,108,442</b>	<b>6/97</b>	<b>Edge, et al</b>		<b>382</b>	<b>167</b>	
		<b>6,421,050</b>	<b>2/97</b>	<b>Ruml, et al.</b>		<b>345</b>	<b>426</b>	
		<b>5,103,407</b>	<b>2/90</b>	<b>Gabor</b>		<b>345</b>	<b>601</b>	
		<b>6,437,792</b>	<b>1/00</b>	<b>Ito, et al.</b>		<b>345</b>	<b>600</b>	
		<b>6,430,311</b>	<b>1/98</b>	<b>Kumada</b>		<b>382</b>	<b>162</b>	
		<b>6,362,808</b>	<b>8/97</b>	<b>Edge, et al.</b>		<b>345</b>	<b>69</b>	
		<b>6,088,038</b>	<b>7/97</b>	<b>Edge, et al.</b>		<b>345</b>	<b>600</b>	
		<b>6,075,888</b>	<b>1/96</b>	<b>Schwartz</b>		<b>382</b>	<b>167</b>	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		<b>01/89202A2</b>	<b>11/01</b>	<b>WO</b>				
		<b>02/080523A2</b>	<b>10/02</b>	<b>WO</b>				
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
		<b>U.S. Application Publication No. 2002/0003903 A1, filed March 22, 2001.</b>						
		<b>U.S. Application Publication No. 2001/0053247A1, filed December 20, 2000.</b>						
		<b>U.S. Application Publication No. 2003/0058253A1, filed February 2, 2001.</b>						
		<b>U.S. Application Publication No. 2001/0044801, filed April 17, 2001.</b>						
		<b>U.S. Application Publication No. 2002/0105660, filed February 8, 2001.</b>						
		<b>U.S. Application Publication No. 2001/0012396, filed January 29, 1998</b>						
		<b>U.S. Application Publication No. 2001/0038468, filed November 30, 2000.</b>						
EXAMINER				DATE CONSIDERED				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.